

# COLORINGS OF GRAPHS ON SURFACES

HISTORY

TOOLS

DIRECTIONS

# GRAPHS ON SURFACES

## CLASSIFICATION OF SURFACES (compact, no boundary)



## EULER GENUS OF S

$$eg(S) = 2 - \chi(S) = \begin{cases} 2g, & \text{if } S \approx S_g \\ g, & \text{if } S = N_g \end{cases}$$

$$\# |G| - \|G\| + f = \chi(S)$$

# HEAWOOD THEOREM

Theorem (Heawood, 1890): If a graph  $G$  can be embedded in a surface of <sup>E.</sup> genus  $g$ , then

$$\chi(G) \leq \left\lfloor \frac{7 + \sqrt{1 + 24g}}{2} \right\rfloor$$

(when  $g=0$ , this becomes 4CT).

Dirac proved that the bound is attained if and only if the complete graph of order

$$H(g) := \left\lfloor \frac{7 + \sqrt{1 + 24g}}{2} \right\rfloor$$

can be embedded in the corresponding surface.

Ringel & Youngs (1968): YES for all surfaces except the Klein bottle.

# PLANAR GRAPHS

FOUR-COLOR THEOREM: Every planar graph is 4-colorable.

GRÖTZSCH THEOREM: Every triangle-free planar graph is 3-colorable.

ACYCLIC COLORINGS: Every planar graph has an acyclic coloring using at most 5 colors.

A coloring is acyclic if every cycle in  $G$  receives at least 3 distinct colors.

$\chi_{ac}(G)$

acyclic chromatic number

Grünbaum (9)  $\rightarrow$  Mitchem (8)  $\rightarrow$  Albertson & Berman (7)  $\rightarrow$   
 $\rightarrow$  Kostochka (6)  $\rightarrow$  Borodin (5)

# ACYCLIC COLORINGS OF GRAPHS

## OF LARGE GENUS

Conjecture (Borodin): For every surface except the plane, the acyclic chromatic number is bounded above by the Heawood bound  $\frac{1}{2}(7 + \sqrt{1 + 24g})$ .

Albertson & Berman proved an upper bound  $2g + 4$ .

	Planar graphs	Euler genus $g$	E. g. $g$ locally planar	Planar graphs List colorings
All graphs	4	$\Theta(g^{1/2})$	5	5
Triangle-free	3	$\tilde{\Theta}(g^{1/3})$	4	4
Acyclic colorings	5	$\tilde{\Theta}(g^{4/7})$	$\leq 8$	$\leq 7$

THEOREM (Alon, BM, Sanders): Let  $G$  be a graph embedded in a surface of Euler genus  $g$ . Then

$$\chi_{ac}(G) \leq 100g^{4/7} + 10000.$$

THEOREM: There are graphs of Euler genus  $g$  whose acyclic chromatic number is at least

$$\chi_{ac}(G) \geq \frac{1}{10} g^{4/7} / (\log g)^{1/7}.$$

In particular, Borodin's conj. fails for almost all surfaces.

# BASIC FACTS ABOUT ACYCLIC COLORINGS

(A) Graphs with many edges have large  $\chi_{ac}$ :

$$\chi_{ac}(G) \geq \frac{\|G\|}{|G|} + 1.$$

Proof.  $U_1, \dots, U_k$  color classes of an acyclic coloring.

$$n_i := |U_i|$$

$e_{ij} := \|G(U_i \cup U_j)\|$ , number of edges joining  $U_i$  and  $U_j$ .

$$\text{Acyclic} \Rightarrow e_{ij} \leq n_i + n_j - 1$$

$$\|G\| = \frac{1}{2} \sum_i \sum_{\substack{j=1 \\ j \neq i}}^k e_{ij} \leq \frac{1}{2} \sum_{i=1}^k \sum_{\substack{j=1 \\ j \neq i}}^k (n_i + n_j - 1) =$$

$$= \frac{1}{2} \sum_i \sum_j n_i + \frac{1}{2} \sum_i \sum_j n_j - \binom{k}{2} = (k-1)|G| - \binom{k}{2}.$$



(B) Graphs with small max. degree have small  $\chi_{ac}$ :

$$\chi_{ac}(G) \leq \lceil 50 \Delta^{4/3} \rceil.$$

Proved by Alon, McDiarmid & Reed (1991) by probabilistic techniques.

(C) Let  $G \in \mathcal{G}(n, p)$ ,  $p = 3\left(\frac{\log n}{n}\right)^{1/4}$ , be a random graph. Then, almost surely,

(i)  $\|G\| \leq 2n^{7/4}(\log n)^{1/4}$ , and

(ii)  $\chi_{ac}(G) \geq \frac{n}{2}$ .

$\Rightarrow$   
 $\chi_{ac}(G) \geq$   
 $\frac{1}{10} n^{4/7} / (\log n)^{1/7}$

Sketch of pf:

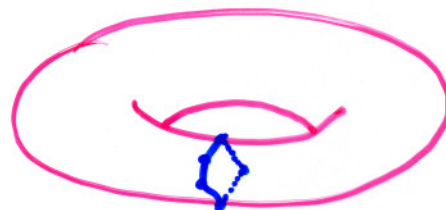
- The number of edges is distributed as a binomial distribution  $b\binom{n}{2}, p$ .
- If  $V(G)$  is partitioned in  $\leq n/2$  classes  $\Rightarrow$  can get  $n/4$  pairs of vertices in same classes. For any two such pairs at least one edge between is not present. Probability for that is

$$\leq (1-p^4)^{\binom{n/4}{2}} \leq n^{-2n} \quad (\text{if } n \text{ large}).$$

At most  $n^n$  partitions into  $\leq n/2$  classes.....

# LOCALLY PLANAR EMBEDDINGS

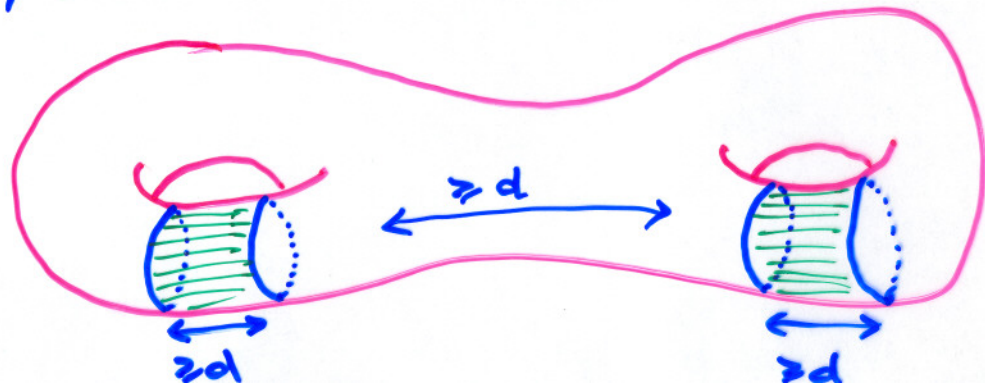
**EDGE-WIDTH**  $\equiv$  length of a shortest non-contractible cycle of  $G$ .



**FACE-WIDTH**  $\equiv$  smallest # of faces whose union contains a non-contractible cycle.

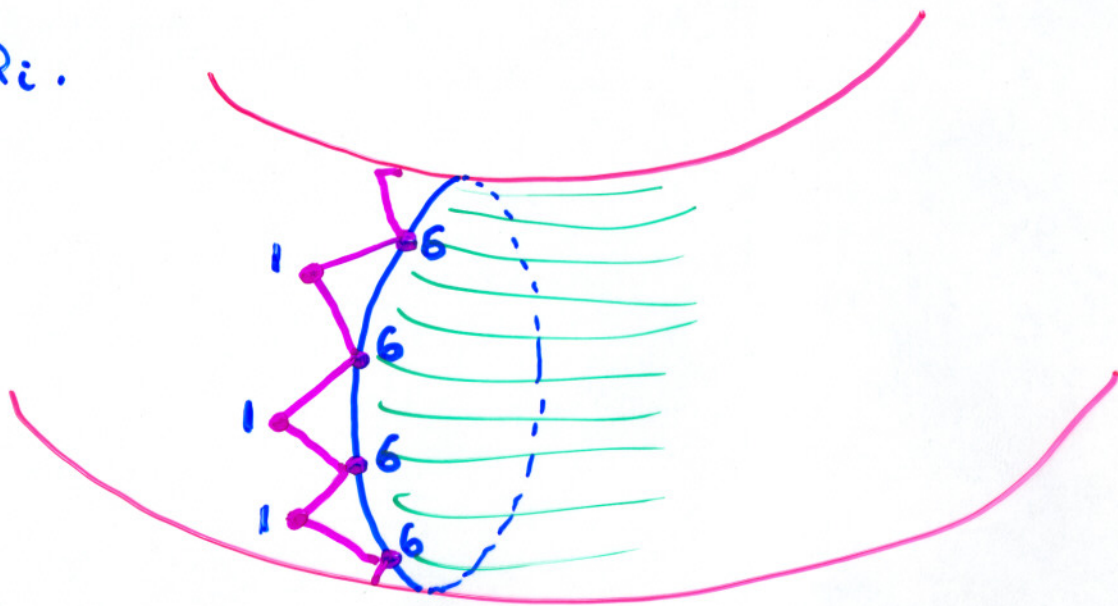


**THEOREM** If  $G$  is embedded in a surface  $S$  and the face-width is "large enough", then  $G$  contains a planarizing collection of cycles (cylinders) which are far apart from each other.



- Suppose  $G$  has large enough edge-width in  $S$ .
- $\exists \tilde{G} \supseteq G$  s.t.  $\tilde{G}$  has large face-width.
- $\tilde{G}$  contains planarizing cylinders  $Q_1, \dots, Q_{g/2}$  (if  $S$  ori.)
- $H := \tilde{G} - (Q_1 \cup \dots \cup Q_{g/2})$  is planar (use colors  $1, 2, \dots, 5$ )
- Each  $Q_i$  is planar (use colors  $6, 7, \dots, 10$ )
- Combine the colorings to get a coloring of  $\tilde{G}$  and hence of  $G$ .

If a 2-colored cycle arises, it uses a color from  $H$  and one from some  $Q_i$ .



THEOREM: For every  $g$  there exist an integer  $w$  s.t. every graph embedded in some surface of Euler genus at most  $g$  with edge-width  $\geq w$  satisfies:

$$\chi_{ac}(G) \leq 8.$$

# Some open problems

## PLANAR GRAPHS

**P1** Characterize critical graphs for acyclic 4-colorings and 5-colorings.

**P2** Acyclic list colorings with 6 colors.

## "HADWIGER CONJECTURE" FOR ACYCLIC CHROMATIC NUMBER

Graphs of genus  $g$  with  $\chi_{ac}(G) = \tilde{O}(g^{4/3})$  show that these do not have  $\Theta(g^{1/2})$ -clique minors (surface argument), but not even  $\Theta(g^{2/3})$ -clique minors because of small number of edges.

**P3** What is the best constant  $\alpha > 0$  such that

$$\text{Hadw}(G) \geq c(\chi_{ac}(G))^\alpha.$$

## LOCALLY PLANAR EMBEDDINGS

- P4** Can locally planar graphs on a fixed surface be acyclically colored with  $\chi$  ( $6, 5?$ ) colors?
- P5** What is the worst acyclic chromatic number for locally planar graphs on the projective plane, torus, Klein bottle?

## SMALL WIDTH

- P6** Acyclic chromatic number for small surfaces?  
(P.P.  $\leq 7, \geq 6$  / K.B.  $\geq 7$  / Torus?)

## ACYCLIC LIST-COLORINGS

- P1'-P6'** All of the above for list colorings.
- P7** Acyclic & large girth.